



Republic of the Philippines
GENERAL SANTOS CITY WATER DISTRICT
E. Fernandez St., Brgy. Lagao, General Santos City
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March 27, 2024

GOVERNMENT PROCUREMENT POLICY BOARD

Unit 2504, Raffles Corporate Center, F. Ortigas
Jr. Road, Ortigas Center, Pasig City
Tel. Nos. (02) 7-900-6741 to 44

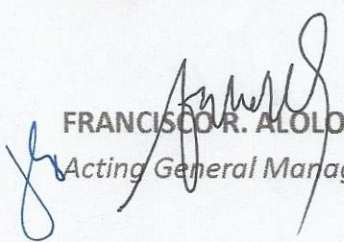
Attention: **ATTY. ROWENA CANDICE M. CRUZ**
Executive Director V
GPPB – Technical Support Office (TSO)

Dear Atty. Ruiz:

In compliance with the Procurement Law, we are submitting herewith the **Agency Procurement Compliance and Performance Indicators (APCPI)** for Fiscal Year 2023 of General Santos City Water District.

For your reference and perusal.

Very truly yours,


FRANCISCO R. ALOLOD, JR., CPA
Acting General Manager

Safe Water... Our Thrust, Our Service, Our Commitment.

**ANNEX B
GOVERNMENT PROCUREMENT POLICY BOARD
CONSOLIDATED PROCUREMENT MONITORING REPORT**

Period Covered: CY 2023

Name of Agency: GENERAL SANTOS CITY WATER DISTRICT

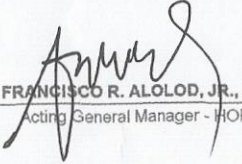
	Total Amount of Approved APP	Total Number of Procurement Activities	No. of Contracts Awarded	Total Amount of Contracts Awarded	No. of Failed Biddings	Total No. of Entities who Acquired Bid Docs	Total No. of Bidders who Submitted Bids	Total No. of Bidders who passed Eligibility Stage	No. of Bid Opportunities Posted at PhilGEPS	No. of Contract Award Posted at PhilGEPS	Total No. Of Contracts that incurred negative slippage	Total No. of contracts with amendments to order or variation orders	No. of Contracts Awarded within prescribed timeframes
Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
1. Public Bidding*													
1.1. Goods	50,190,000.00	11	11	40,247,291.18	0	29	28	24	11	11	0	0	11
1.2. Works	4,400,000.00	2	2	4,221,168.70	0	7	5	4	2	2	0	0	2
1.3. Consulting Services													
Sub-Total	54,590,000.00	13	13	44,468,459.88	0	36	33	28	13	13	0	0	13
2. Alternative Modes													
2.1.1 Shopping (52.1 a above 50K)													
2.1.2 Shopping (52.1 b above 50K)													
2.1.3 Other Shopping													
2.2.1 Direct Contracting (above 50K)										10			
2.2.2 Direct Contracting (50K or less)	175,000.00	10	10	171,825.95						1			
2.3.1 Repeat Order (above 50K)	1,549,715.43	1	1	362,021.16									
2.3.2 Repeat Order (50K or less)													
2.4. Limited Source Bidding													
2.5.1 Negotiation (Common-Use Supplies)													
2.5.2 Negotiation (Recognized Government Printers)													
2.5.3 Negotiation (TFB 53.1)									343	287			
2.5.4 Negotiation (SVP 53.9 above 50K)	100,630,550.00	343	287	55,752,972.95									
2.5.5 Other Negotiated Procurement (Others above 50K)										0			
2.5.6 Other Negotiated Procurement (50K or less)									343	298			
Sub-Total	102,355,265.43	354	298	56,286,819.46									
3. Foreign Funded Procurement**						0	0	0					
3.1. Publicly-Bid						0	0	0					
3.2. Alternative Modes													
Sub-Total	0.00	0	0	0.00									
4. Others, specify:													
TOTAL	156,945,265.43	367	311	100,755,279.34									

* Should include foreign-funded publicly-bid projects per procurement type

** All procurement using Foreign Funds excluding National Competitive Bidding (NCB) contracts; conversion to peso will be at BSP rates at the time the bids/quotations were submitted


AGNES P. TAMPICO, MPA
Head, BAC Secretariat


FERDINAND S. FERRER, MPA
BAC Chairperson


FRANCISCO R. ALOLOD, JR., CPA
Acting General Manager - HOPE

ANNEX A
GOVERNMENT PROCUREMENT POLICY BOARD
Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form

Name of Agency: GENERAL SANTOS CITY WATER DISTRICT
 Date of Self Assessment: MARCH 27, 2024

Name of Evaluator: AGNES P. TAMPICO,
 Position: Head, BAC Secretariat

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and Subindicators	Supporting Information/Documentation (Not to be Included in the Evaluation)
PILLAR I. LEGISLATIVE AND REGULATORY FRAMEWORK					
Indicator 1. Competitive Bidding as Default Method of Procurement					
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	44.14%	0.00	The budget allocated for Maintenance and Other Operating Expenses is almost half of the Capital Outlay.	PMRs
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	4.18%	0.00	The budget allocated for Maintenance and Other Operating Expenses is almost half of the Capital Outlay.	PMRs
Indicator 2. Limited Use of Alternative Methods of Procurement					
2.a	Percentage of shopping contracts in terms of amount of total procurement	0.00%	3.00	Very Satisfactory / Compliant	PMRs
2.b	Percentage of negotiated contracts in terms of amount of total procurement	55.34%	0.00	The budget allocated for Maintenance and Other Operating Expenses is almost half of the Capital Outlay.	PMRs
2.c	Percentage of direct contracting in terms of amount of total procurement	0.17%	3.00	Very Satisfactory / Compliant	PMRs
2.d	Percentage of repeat order contracts in terms of amount of total procurement	0.36%	3.00	Very Satisfactory / Compliant	PMRs
2.e	Compliance with Repeat Order procedures	Compliant	3.00	Very Satisfactory / Compliant	Procurement documents relative to conduct of Repeat Order
2.f	Compliance with Limited Source Bidding procedures	n/a	n/a	n/a	Procurement documents relative to conduct of Limited Source Bidding
Indicator 3. Competitiveness of the Bidding Process					
3.a	Average number of entities who acquired bidding documents	2.77	0.00	Despite the invitations sent to prospective bidders and posted to DPWH, LGU, PhilGEPS, website, and bulletin, there were limited number of bidders who acquired the bidding documents.	Agency records and/or PhilGEPS records
3.b	Average number of bidders who submitted bids	2.54	1.00	Despite the invitations sent to prospective bidders and posted to DPWH, LGU, PhilGEPS, website, and bulletin, there were limited number of bidders who submitted bids.	Abstract of Bids or other agency records
3.c	Average number of bidders who passed eligibility stage	2.15	2.00	Satisfactory	Abstract of Bids or other agency records
3.d	Sufficiency of period to prepare bids	Fully Compliant	3.00	Very Satisfactory / Compliant	Agency records and/or PhilGEPS records
3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Fully Compliant	3.00	Very Satisfactory / Compliant	Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents
		Average I	1.75		
PILLAR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEMENT CAPACITY					
Indicator 4. Presence of Procurement Organizations					
4.a	Creation of Bids and Awards Committee(s)	Fully Compliant	3.00	Very Satisfactory / Compliant	Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
4.b	Presence of a BAC Secretariat or Procurement Unit	Fully Compliant	3.00	Very Satisfactory / Compliant	Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training

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GOVERNMENT PROCUREMENT POLICY BOARD
Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form

Name of Agency: GENERAL SANTOS CITY WATER DISTRICT
 Date of Self Assessment: MARCH 27, 2024

Name of Evaluator: AGNES P. TAMPICO
 Position: Head, BAC Secretariat

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation)
Indicator 5. Procurement Planning and Implementation					
5.a	An approved APP that includes all types of procurement	Compliant	3.00	Very Satisfactory / Compliant	Copy of APP and its supplements (if any)
5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Fully Compliant	3.00	Very Satisfactory / Compliant	APP, APP-CSE, PMR
5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Compliant	3.00	Very Satisfactory / Compliant	ITBs and/or RFOs clearly indicate the use of green technical specifications for the procurement activity
Indicator 6. Use of Government Electronic Procurement System					
6.a	Percentage of bid opportunities posted by the PhilGEPS-registered Agency	100.00%	3.00	Very Satisfactory / Compliant	Agency records and/or PhilGEPS records
6.b	Percentage of contract award information posted by the PhilGEPS-registered Agency	100.00%	3.00	Very Satisfactory / Compliant	Agency records and/or PhilGEPS records
6.c	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	100.00%	3.00	Very Satisfactory / Compliant	Agency records and/or PhilGEPS records
Indicator 7. System for Disseminating and Monitoring Procurement Information					
7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost	Fully Compliant	3.00	Very Satisfactory / Compliant	Identify specific procurement-related portion in the agency website and specific website links
7.b	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Fully Compliant	3.00	Very Satisfactory / Compliant	Copy of PMR and received copy that it was submitted to GPPB
		Average II	3.00		
PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES					
Indicator 8. Efficiency of Procurement Processes					
8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	64.20%	2.00	Satisfactory	APP (including Supplemental amendments, if any) and PMRs
8.b	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	100.00%	3.00	Very Satisfactory / Compliant	APP(including Supplemental amendments, if any)and PMRs
8.c	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Fully Compliant	3.00	Very Satisfactory / Compliant	Agency Procedures/Systems for the conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services Contracts with amendments and variations to order amount to 10% or less
Indicator 9. Compliance with Procurement Timeframes					
9.a	Percentage of contracts awarded within prescribed period of action to procure goods	100.00%	3.00	Very Satisfactory / Compliant	PMRs
9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	100.00%	3.00	Very Satisfactory / Compliant	PMRs
9.c	Percentage of contracts awarded within prescribed period of action to procure consulting services	n/a	n/a	n/a	PMRs
Indicator 10. Capacity Building for Government Personnel and Private Sector Participants					
10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Fully Compliant	3.00	Very Satisfactory / Compliant	Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement Personnel
10.b	Percentage of participation of procurement staff in procurement training and/or professionalization program	100.00%	3.00	Very Satisfactory / Compliant	Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted
10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Compliant	3.00	Very Satisfactory / Compliant	Ask for copies of documentation of activities for bidders

ANNEX A
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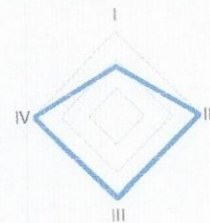
Name of Evaluator: AGNES P. TAMPICO,
 Position: Head, BAC Secretariat

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and Subindicators	Supporting Information/Documentation (Not to be Included in the Evaluation)
Indicator 11. Management of Procurement and Contract Management Records					
11.a	The BAC Secretariat has a system for keeping and maintaining procurement records	Fully Compliant	3.00	Very Satisfactory / Compliant	Verify actual procurement records and time it took to retrieve records (should be no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.
11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Fully Compliant	3.00	Very Satisfactory / Compliant	Verify actual contract management records and time it took to retrieve records should be no more than two hours
Indicator 12. Contract Management Procedures					
12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Fully Compliant	3.00	Very Satisfactory / Compliant	Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz
12.b	Timely Payment of Procurement Contracts	On or before 30 days	3.00	Very Satisfactory / Compliant	Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts
Average III			2.92		
PILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM					
Indicator 13. Observer Participation in Public Bidding					
13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	Fully Compliant	3.00	Very Satisfactory / Compliant	Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)
Indicator 14. Internal and External Audit of Procurement Activities					
14.a	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Fully Compliant	3.00	Very Satisfactory / Compliant	Verify copy of Order or show actual organizational chart showing IAU, audit reports, action plans and IAU recommendations
14.b	Audit Reports on procurement related transactions	Above 90-100% compliance	3.00	Very Satisfactory / Compliant	Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations
Indicator 15. Capacity to Handle Procurement Related Complaints					
15.a	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Fully Compliant	3.00	Very Satisfactory / Compliant	Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting mesures to address procurement-related complaints
Indicator 16. Anti-Corruption Programs Related to Procurement					
16.a	Agency has a specific anti-corruption program/s related to procurement	Fully Compliant	3.00	Very Satisfactory / Compliant	Verify documentation of anti-corruption program
Average IV			3.00		
GRAND TOTAL (Average I + Average II + Average III + Average IV / 4)			2.67		

Summary of APCPI Scores by Pillar

APCPI Pillars	Ideal Rating	Agency Rating
I Legislative and Regulatory Framework	3.00	1.75
II Agency Insitutional Framework and Management Capacity	3.00	3.00
III Procurement Operations and Market Practices	3.00	2.92
IV Integrity and Transparency of Agency Procurement Systems	3.00	3.00
Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4	3.00	2.67

Agency Rating



AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)
QUESTIONNAIRE

Name of Agency: General Santos City Water District
Name of Respondent: Agnes P. Tampico, MPA

Date: March 27, 2023
Position: Head, BAC Secretariat

Instruction: Put a check (✓) mark inside the box beside each condition/requirement met as provided below and then fill in the corresponding blanks according to what is asked. Please note that all questions must be answered completely.

1. Do you have an approved APP that includes all types of procurement, given the following conditions? (5a)

- Agency prepares APP using the prescribed format
- Approved APP is posted at the Procuring Entity's Website
please provide link: <https://gensanwater.gov.ph/transparency-seal/>
- Submission of the approved APP to the GPPB within the prescribed deadline
please provide submission date: 1/25/2023

2. Do you prepare an Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procure your Common-Use Supplies and Equipment from the Procurement Service? (5b)

- Agency prepares APP-CSE using prescribed format
- Submission of the APP-CSE within the period prescribed by the Department of Budget and Management in its Guidelines for the Preparation of Annual Budget Execution Plans issued annually
please provide submission date: 7/31/2023
- Proof of actual procurement of Common-Use Supplies and Equipment from DBM-PS

3. In the conduct of procurement activities using Repeat Order, which of these conditions is/are met? (2e)

- Original contract awarded through competitive bidding
- The goods under the original contract must be quantifiable, divisible and consisting of at least four (4) units per item
- The unit price is the same or lower than the original contract awarded through competitive bidding which is advantageous to the government after price verification
- The quantity of each item in the original contract should not exceed 25%
- Modality was used within 6 months from the contract effectivity date stated in the NTP arising from the original contract, provided that there has been a partial delivery, inspection and acceptance of the goods within the same period

4. In the conduct of procurement activities using Limited Source Bidding (LSB), which of these conditions is/are met? (2f)

- Upon recommendation by the BAC, the HOPE issues a Certification resorting to LSB as the proper modality
- Preparation and Issuance of a List of Pre-Selected Suppliers/Consultants by the PE or an identified relevant government authority
- Transmittal of the Pre-Selected List by the HOPE to the GPPB
- Within 7cd from the receipt of the acknowledgement letter of the list by the GPPB, the PE posts the procurement opportunity at the PhilGEPS website, agency website, if available and at any conspicuous place within the agency

5. In giving your prospective bidders sufficient period to prepare their bids, which of these conditions is/are met? (3d)

- Bidding documents are available at the time of advertisement/posting at the PhilGEPS website or Agency website;
- Supplemental bid bulletins are issued at least seven (7) calendar days before bid opening;
- Minutes of pre-bid conference are readily available within five (5) days.

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)
QUESTIONNAIRE

6. Do you prepare proper and effective procurement documentation and technical specifications/requirements, given the the following conditions? (3e)

- The end-user submits final, approved and complete Purchase Requests, Terms of Reference, and other documents based on relevant characteristics, functionality and/or performance requirements, as required by the procurement office prior to the commencement of the procurement activity
- No reference to brand names, except for items/parts that are compatible with the existing fleet or equipment
- Bidding Documents and Requests for Proposal/Quotation are posted at the PhilGEPS website, Agency website, if applicable, and in conspicuous places

7. In creating your BAC and BAC Secretariat which of these conditions is/are present?

For BAC: (4a)

- Office Order creating the Bids and Awards Committee
please provide Office Order No.: Memo OGM 55 Series 2023
- There are at least five (5) members of the BAC
please provide members and their respective training dates:

Name/s	Date of RA 9184-related training
A. <u>Ferdinand S. Ferrer, MPA</u>	<u>September 11-13, 2023</u>
B. <u>Engr. Rogelio A. Besana, Jr.</u>	<u>September 11-13, 2023</u>
C. <u>Engr. Cornelio T. Turija, Jr.</u>	<u>September 11-13, 2023</u>
D. <u>Samcelle B. Valenzuela, MPA</u>	<u>September 11-13, 2023</u>
E. <u>Maritess P. Primaylon, MPA</u>	<u>September 11-13, 2023</u>
F. <u>Atty. Judy Lim-Pasman</u>	<u>September 11-13, 2023</u>
G. _____	_____
- Members of BAC meet qualifications
- Majority of the members of BAC are trained on R.A. 9184

For BAC Secretariat: (4b)

- Office Order creating of Bids and Awards Committee Secretariat or designing Procurement Unit to act as BAC Secretariat
please provide Office Order No.: Organic / Plantilla Based
- The Head of the BAC Secretariat meets the minimum qualifications
please provide name of BAC Sec Head: Agnes P. Tampico, MPA / 4th Ranking
- Majority of the members of BAC Secretariat are trained on R.A. 9184
please provide training date: September 11-13, 2023

8. Have you conducted any procurement activities on any of the following? (5c)

If YES, please mark at least one (1) then, answer the question below.

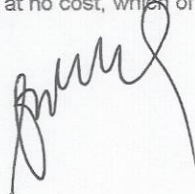
- | | |
|--|--|
| <input checked="" type="checkbox"/> Computer Monitors, Desktop Computers and Laptops | <input type="checkbox"/> Paints and Varnishes |
| <input checked="" type="checkbox"/> Air Conditioners | <input type="checkbox"/> Food and Catering Services |
| <input checked="" type="checkbox"/> Vehicles | <input type="checkbox"/> Training Facilities / Hotels / Venues |
| <input checked="" type="checkbox"/> Fridges and Freezers | <input type="checkbox"/> Toilets and Urinals |
| <input checked="" type="checkbox"/> Copiers | <input type="checkbox"/> Textiles / Uniforms and Work Clothes |

Do you use green technical specifications for the procurement activity/ies of the non-CSE item/s?

- Yes No

9. In determining whether you provide up-to-date procurement information easily accessible at no cost, which of these conditions is/are met? (7a)





AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)
QUESTIONNAIRE

- Agency has a working website
please provide link: https://gensanwater.gov.ph/
- Procurement information is up-to-date
- Information is easily accessible at no cost

10. In complying with the preparation, posting and submission of your agency's Procurement Monitoring Report, which of these conditions is/are met? (7b)

- Agency prepares the PMRs
- PMRs are promptly submitted to the GPPB
please provide submission dates: 1st Sem - July 12, 2023 2nd Sem - January 8, 2024
- PMRs are posted in the agency website
please provide link: https://gensanwater.gov.ph/transparency-seal/
- PMRs are prepared using the prescribed format

11. In planning of procurement activities to achieve desired contract outcomes and objectives within the target/allotted timeframe, which of these conditions is/are met? (8c)

- There is an established procedure for needs analysis and/or market research
- There is a system to monitor timely delivery of goods, works, and consulting services
- Agency complies with the thresholds prescribed for amendment to order, variation orders, and contract extensions, if any, in competitively bid contracts

12. In evaluating the performance of your procurement personnel, which of these conditions is/are present? (10a)

- Personnel roles, duties and responsibilities involving procurement are included in their individual performance commitment/s
- Procuring entity communicates standards of evaluation to procurement personnel
- Procuring entity and procurement personnel acts on the results and takes corresponding action

13. Which of the following procurement personnel have participated in any procurement training and/or professionalization program within the past three (3) years? (10b)

Date of most recent training: May 4, 2022

- Head of Procuring Entity (HOPE)
- Bids and Awards Committee (BAC)
- BAC Secretariat/ Procurement/ Supply Unit
- BAC Technical Working Group
- End-user Unit/s
- Other staff



AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)
QUESTIONNAIRE

14. Which of the following is/are practised in order to ensure the private sector access to the procurement opportunities of the procuring entity? (10c)

- Forum, dialogues, meetings and the like (apart from pre-bid conferences) are conducted for all prospective bidders at least once a year
- The PE promptly responds to all interested prospective bidders' inquiries and concerns, with available facilities and various communication channels

15. In determining whether the BAC Secretariat has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11a)

- There is a list of procurement related documents that are maintained for a period of at least five years
- The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers
- The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel

16. In determining whether the Implementing Units has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11b)

- There is a list of contract management related documents that are maintained for a period of at least five years
- The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers
- The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel

17. In determining if the agency has defined procedures or standards for quality control, acceptance and inspection of goods, works and services, which of these conditions is/are present? (12a)

- Agency has written procedures for quality control, acceptance and inspection of goods, services and works

Have you procured Infrastructure projects through any mode of procurement for the past year?

- Yes No

If YES, please answer the following:

- Supervision of civil works is carried out by qualified construction supervisors
Name of Civil Works Supervisor: Engr. Miguel M. Dinapo, Jr.
- Agency implements CPES for its works projects and uses results to check contractors' qualifications (applicable for works only)
Name of CPES Evaluator: Engr. Miguel M. Dinapo, Jr.

18. How long will it take for your agency to release the final payment to your supplier/service provider or contractor/consultant, once documents are complete? (12b) 30 days

19. When inviting Observers for the following procurement activities, which of these conditions is/are met? (13a)

- A. Eligibility Checking (For Consulting Services Only)
- B. Shortlisting (For Consulting Services Only)
- C. Pre-bid conference
- D. Preliminary examination of bids
- E. Bid evaluation
- F. Post-qualification

- Observers are invited to attend stages of procurement as prescribed in the IRR
- Observers are allowed access to and be provided documents, free of charge, as stated in the IRR



AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)
QUESTIONNAIRE

Observer reports, if any, are promptly acted upon by the procuring entity

20. In creating and operating your Internal Audit Unit (IAU) that performs specialized procurement audits, which set of conditions were present? (14a)

- Creation of Internal Audit Unit (IAU) in the agency
Agency Order/DBM Approval of IAU position/s: Organizational Structure / Staffing Pattern
- Conduct of audit of procurement processes and transactions by the IAU within the last three years
- Internal audit recommendations on procurement-related matters are implemented within 6 months of the submission of the internal auditor's report

21. Are COA recommendations responded to or implemented within six months of the submission of the auditors' report? (14b)

- Yes (percentage of COA recommendations responded to or implemented within six months)
_____ %
- No procurement related recommendations received

22. In determining whether the Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements, which of conditions is/are present? (15a)

- The HOPE resolved Protests within seven (7) calendar days per Section 55 of the IRR
- The BAC resolved Requests for Reconsideration within seven (7) calendar days per Section 55 of the IRR
- Procuring entity acts upon and adopts specific measures to address procurement-related complaints, referrals, subpoenas by the Omb, COA, GPPB or any quasi-judicial/quasi-administrative body

23. In determining whether agency has a specific anti-corruption program/s related to procurement, which of these conditions is/are present? (16a)

- Agency has a specific office responsible for the implementation of good governance programs
- Agency implements a specific good governance program including anti-corruption and integrity development
- Agency implements specific policies and procedures in place for detection and prevention of corruption



Annex D

PROCUREMENT CAPACITY DEVELOPMENT ACTION PLAN TEMPLATE

Period: CY 2023Name of Agency: GENERAL SANTOS CITY WATER DISTRICT

Sub-Indicators	Key Area for Development	Proposed Actions to Address Key Areas	Responsible Entity	Timetable	Resources Needed
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	Increase the percentage of competitive bidding.	End-users,BAC, BAC Secretariat, TWG	January - December 2024	APP/PPMP
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	Increase the percentage of competitive bidding.	End-users,BAC, BAC Secretariat, TWG	January - December 2024	APP/PPMP
2.a	Percentage of shopping contracts in terms of amount of total procurement	Maintain the percentage of shopping contracts in terms of amount of total procurement.	End-users,BAC, BAC Secretariat, TWG	January - December 2024	APP/PPMP
2.b	Percentage of negotiated contracts in terms of amount of total procurement	Reduce the percentage of negotiated contracts particularly in Small Value Procurement (SVP).	End-users,BAC, BAC Secretariat, TWG	January - December 2024	APP/PPMP
2.c	Percentage of direct contracting in terms of amount of total procurement	Reduce the percentage of direct contracting in terms of amount of total procurement.	End-users,BAC, BAC Secretariat, TWG	January - December 2024	APP/PPMP
2.d	Percentage of repeat order contracts in terms of amount of total procurement	Reduce the percentage of repeat order contracts to 1% or below.	End-users,BAC, BAC Secretariat, TWG	January - December 2024	APP/PPMP
2.e	Compliance with Repeat Order procedures	Maintain compliance to Repeat Order procedures.	End-users,BAC, BAC Secretariat, TWG	January - December 2024	APP/PPMP
2.f	Compliance with Limited Source Bidding procedures	n/a	n/a	n/a	n/a
3.a	Average number of entities who acquired bidding documents	Maintain compliance to posting guidelines to encourage more bidders to participate in the bidding activities.	BAC, BAC Secretariat, TWG	January - December 2024	none
3.b	Average number of bidders who submitted bids	Maintain compliance to posting guidelines to encourage more bidders to participate in the bidding activities.	BAC, BAC Secretariat, TWG	January - December 2024	none
3.c	Average number of bidders who passed eligibility stage	Continue extending assistance to bidders in answering their queries as to the bidding process and requirements.	BAC, BAC Secretariat, TWG	January - December 2024	none
3.d	Sufficiency of period to prepare bids	Maintain sufficient period in preparing bids.	BAC, BAC Secretariat, TWG	January - December 2024	none
3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Maintain the use of proper and effective procurement documentation and technical specifications/requirements.	End-users,BAC, BAC Secretariat, TWG	January - December 2024	none
4.a	Creation of Bids and Awards Committee(s)	Maintain compliance to guidelines in creation of Bids and Awards Committee(s).	HOPE/General Manager	January - December 2024	none

4.b	Presence of a BAC Secretariat or Procurement Unit	Maintain compliance on presence of a BAC Secretariat or Procurement Unit.	HOPE/General Manager	January - December 2024	none
5.a	An approved APP that includes all types of procurement	Maintain compliance in the preparation of an approved APP that includes all types of procurement.	End-users,BAC, BAC Secretariat	January - December 2024	APP
5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Maintain compliance to preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service.	BAC, BAC Secretariat	January - December 2024	APP-CSE
5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Maintain the use of existing Green Specifications for GPPB-identified non-CSE items.	End-users, TWG	January - December 2024	none
6.a	Percentage of bid opportunities posted by the PhilGEPS-registered Agency	Maintain the percentage of bid opportunities posted at PhilGEPS.	BAC Secretariat / Procurement Division	January - December 2024	none
6.b	Percentage of contract award information posted by the PhilGEPS-registered Agency	Maintain the percentage of contract award information posted at PhilGEPS.	BAC Secretariat / Procurement Division	January - December 2024	none
6.c	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	Maintain the percentage of contract awards procured through alternative methods posted at PhilGEPS.	BAC Secretariat / Procurement Division	January - December 2024	none
7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost	Maintain accessible presence of website that provides up-to-date procurement information.	BAC Secretariat / Procurement Division	January - December 2024	none
7.b	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Maintain preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, posting in agency website.	Procurement Division, BAC, BAC Secretariat, GM	January - December 2024	none
8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	Maintain the percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs.	End-user, BAC, BAC Secretariat, Procurement Division	January - December 2024	none
8.b	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	Review/Evaluate the Procurement Planning to minimize the number of Failed Biddings.	End-user, BAC, BAC Secretariat, Procurement Division	January - December 2024	none
8.c	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Maintain compliance in the planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe.	BAC, BAC Secretariat, TWG	January - December 2024	none
9.a	Percentage of contracts awarded within prescribed period of action to procure goods	Maintain the percentage of contracts awarded within prescribed period of action to procure goods.	BAC, BAC Secretariat, TWG	January - December 2024	none
9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	Maintain the percentage of contracts awarded within prescribed period of action to procure infrastructure projects.	BAC, BAC Secretariat, TWG	January - December 2024	none
9.c	Percentage of contracts awarded within prescribed period of action to procure consulting services	n/a	n/a	n/a	n/a
10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Maintain the existing system within the procuring entity to evaluate the performance of procurement personnel on a regular basis.	Human Resource Department / Procurement Division	January - December 2024	none

10.b	Percentage of participation of procurement staff in procurement training and/or professionalization program	Maintain the percentage of participation of procurement staff in procurement training and/or professionalization program.	Training & Development Division / Procurement Division	January - December 2024	Seminars on RA 9184, PhilGEPS, etc.
10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Maintain the accessibility to procurement opportunities of the district.	BAC Secretariat / Procurement Division	January - December 2024	none
11.a	The BAC Secretariat has a system for keeping and maintaining procurement records	Maintain the existing system for keeping and maintaining procurement records of BAC Secretariat.	BAC Secretariat / Procurement Division	January - December 2024	none
11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Maintain the system for keeping and maintaining complete and easily retrievable contract management records of Implementing Units.	MERCWD	January - December 2024	none
12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Maintain the agency's defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance.	Management & Operations Audit Unit / MERCWD	January - December 2024	none
12.b	Timely Payment of Procurement Contracts	Maintain the 30 days or below payment schedule.	End-user, Procurement Division, Budget Division, Financial Management and Accounting	January - December 2024	PR, RFQ, Abstract, PO, etc.
13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	Continue invitations to observers to attend stages of procurement as prescribed in the IRR.	BAC Secretariat	January - December 2024	Invitation Letters
14.a	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Maintain existing Internal Audit Unit (IAU) that performs specialized procurement audits.	Management & Operations Audit Unit	January - December 2024	none
14.b	Audit Reports on procurement related transactions	No procurement related recommendations received.	Procurement Division	January - December 2024	none
15.a	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Maintain the existing efficient procurement complaints system.	Procurement Division	January - December 2024	none
16.a	Agency has a specific anti-corruption program/s related to procurement	Maintain existing specific anti-corruption program/s related to procurement.	Management & Operations Audit Unit	January - December 2024	none

No.	Assessment Conditions	Poor/Not Compliant (0)	Acceptable (1)	Satisfactory (2)	Very Satisfactory/Compliant (3)
		0	1	2	3
PILLAR I. LEGISLATIVE AND REGULATORY FRAMEWORK					
Indicator 1. Competitive Bidding as Default Method of Procurement					
1	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	Below 70.00%	Between 70.00-80.99%	Between 81.00-90.99%	Between 91.00-100%
2	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	Below 20.00%	Between 20.00- 39.99%	Between 40.00-50.00%	Above 50.00%
Indicator 2. Limited Use of Alternative Methods of Procurement					
3	Percentage of shopping contracts in terms of amount of total procurement	Above 7.00%	Between 6.00-7.00 %	Between 4.00-5.99 %	Below 4.00%
4	Percentage of negotiated contracts in terms of amount of total procurement	Above 15.00%	Between 11.00 -15.00%	Between 6.00-10.99%	Below 6.00%
5	Percentage of direct contracting in terms of amount of total procurement	Above 4.00%	Between 3.00-4.00%	Between 1.00-2.99%	Below 1.00%
6	Percentage of repeat order contracts in terms of amount of total procurement	Above 4.00%	Between 3.00-4.00%	Between 1.00-2.99%	Below 1.00%
7	Compliance with Repeat Order procedures	Not Compliant			Compliant
8	Compliance with Limited Source Bidding procedures	Not Compliant			Compliant
Indicator 3. Competitiveness of the Bidding Process					
9	Average number of entities who acquired bidding documents	Below 3.00	3.00-3.99	4.00-5.99	6.00 and above
10	Average number of bidders who submitted bids	Below 2.00	2.00-2.99	3.00-4.99	5.00 and above
11	Average number of bidders who passed eligibility stage	Below 1.00	1.00 – 1.99	2.00-2.99	3.00 and above
12	Sufficiency of period to prepare bids	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
13	Use of proper and effective procurement documentation and technical specifications/requirements	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
PILLAR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEMENT CAPACITY					
Indicator 4. Presence of Procurement Organizations					
14	Creation of Bids and Awards Committee(s)	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
15	Presence of a BAC Secretariat or Procurement Unit	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Indicator 5. Procurement Planning and Implementation					
16	An approved APP that includes all types of procurement	Not Compliant			Compliant
17	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
18	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Not Compliant			Compliant
Indicator 6. Use of Government Electronic Procurement System					

No.	Assessment Conditions	Poor/Not Compliant (0)	Acceptable (1)	Satisfactory (2)	Very Satisfactory/Compliant (3)
		0	1	2	3
19	Percentage of bid opportunities posted by the PhilGEPS-registered Agency	Below 70.99%	Between 71.00-80.99%	Between 81.00-90.99%	Above 91.00%
20	Percentage of contract award information posted by the PhilGEPS-registered Agency	Below 20.00%	Between 20.00- 50.99%	Between 51.00-80.00%	Above 80.00%
21	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	Below 20.00%	Between 20.00 - 50.99%	Between 51.00-80.00%	Above 80.00%
Indicator 7. System for Disseminating and Monitoring Procurement Information					
22	Presence of website that provides up-to-date procurement information easily accessible at no cost	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
23	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES					
Indicator 8. Efficiency of Procurement Processes					
24	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	Below 40.00% or above 100.00%	Between 40.00- 60.99%	Between 61.00% -80.00%	Above 80.00%
25	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	Below 90.00%	Between 90.00- 92.99%	Between 93.00-95.00%	Above 95.00%
26	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Indicator 9. Compliance with Procurement Timeframes					
27	Percentage of contracts awarded within prescribed period of action to procure goods	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99%	100%
28	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99%	100%
29	Percentage of contracts awarded within prescribed period of action to procure consulting services	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99%	100%
Indicator 10. Capacity Building for Government Personnel and Private Sector Participants					
30	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
31	Percentage of participation of procurement staff in procurement training and/or professionalization program	Less than 60.00% Trained	Between 60.00-75.99% Trained	Between 76-90% of staff trained	Between 91.00-100% Trained
32	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Not Compliant			Compliant
Indicator 11. Management of Procurement and Contract Management Records					
33	The BAC Secretariat has a system for keeping and maintaining procurement records	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
34	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant

No.	Assessment Conditions	Poor/Not Compliant (0)	Acceptable (1)	Satisfactory (2)	Very Satisfactory/Compliant (3)
		0	1	2	3
Indicator 12. Contract Management Procedures					
35	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
36	Timely Payment of Procurement Contracts	After 45 days	Between 38-45 days	Between 31-37 days	On or before 30 days
PILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM					
Indicator 13. Observer Participation in Public Bidding					
37	Observers are invited to attend stages of procurement as prescribed in the IRR	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Indicator 14. Internal and External Audit of Procurement Activities					
38	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
39	Audit Reports on procurement related transactions	Below 60% compliance	Between 61-70.99% compliance	Between 71-89.99% compliance	Above 90-100% compliance
Indicator 15. Capacity to Handle Procurement Related Complaints					
40	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Indicator 16. Anti-Corruption Programs Related to Procurement					
41	Agency has a specific anti-corruption program/s related to procurement	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant